

MAVEN VCT OFFERS - APPLICATION FORM

TO BE COMPLETED BY AN APPLICANT AND/OR THEIR FINANCIAL INTERMEDIARY (a nominee wishing to apply on behalf of an investor should contact the Receiving Agent, City Partnership, for alternative instructions). Before applying you should read the Terms and Conditions of Application contained in the Securities Note, and the Application Form Instructions. Definitions used in the Securities Note dated 13 October 2023 apply to this Application Form. The Securities Note, Registration Document and Summary (together the "Prospectus") can be downloaded from: www.mavencp.com/vctoffer.

Please complete this form as outlined in the Application instructions or it may not be accepted, and use **BLOCK CAPITALS**.

To be completed by the Applicant (or by an intermediary if they are applying on behalf of an Applicant)

1. Only required if the application is through a Financial Intermediary – if you are applying directly go to Section 2.

☐ Please tick this box if the application is being made through a **Financial Intermediary (FI)**.

Network or service provider used by the FI:

2. Applicant Details

Title (e.g. Mr/Mrs/Dr): Forename(s):

Surname(s): Date of Birth: / /

Address:

Post Code:

If you have lived at your current address for less than three years, please provide your **previous address**:

Post Code:

Email:

Daytime Telephone:

Tax residency: if you are a tax resident of the UK, please provide your National Insurance number below (or your Unique Taxpayer Reference (UTR) if you do not have a National Insurance number):

National Insurance Number: UTR:

Please indicate any other countries where you are a tax resident, and the taxpayer identification numbers (TIN):

Country: TIN: Country: TIN:

Existing shareholder details: if you (or your spouse/partner) are a shareholder in any Maven VCT, **please TICK ONE BOX**:

☐ Shares held on share register with the following shareholder reference (CIN*):

*The CIN may be located on any recent correspondence from the Registrar or on the "My Shares" page if you have registered for the Companies' Investor Hub at <https://maven-cp.cityhub.uk.com/>

☐ Shares are held with the following nominee:

3. Application Amounts

The payment provided with the Application must be for the **Total** Application Amounts set out below and must include any initial adviser charge to be paid to a financial adviser (which the adviser must specify in Section 8a). An Application must be for a **minimum of £5,000 overall**, and for a minimum of £1,000 in each Maven VCT for which you apply (enter £0 for any VCTs to which you are not applying).

I wish to apply for the VCTs and amount(s) shown below, or such lesser amount(s) for which this Application will be accepted (in accordance with the re-allocation/return instructions set out overleaf):

Tax Year	Maven VCT 1	Maven VCT 3	Maven VCT 4	Maven VCT 5
2023/2024	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00
2024/2025	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00
Total (per VCT)	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00

PLEASE TURN OVER

Re-allocation/Return Instructions

In the event that one or more of the Offers for which I have applied has closed, or is deemed closed, at the time my Application Form is processed, then I hereby request the following (**TICK ONE BOX only**):

- ☐ (a) the amount in respect of the closed Offer(s) to be **re-allocated equally between the Offer(s) that I have applied for and that remain open** (in respect of the same tax year), OR
- ☐ (b) the amount in respect of the closed Offer(s) be **re-allocated equally between ALL of the Offer(s) that remain open** (in respect of the same tax year), regardless of whether I have applied for them, OR
- ☐ (c) the amount in respect of the closed Offer(s) **be returned to me** by bank transfer to the account detailed in Section 4

Please note – if you fail to tick a box above, the default position set out in the Application Instructions will apply, and your Application monies will be re-allocated accordingly.

4. Payment Details

TICK ONE BOX below to indicate how you are paying, **and** provide details of your account. Payment should be made as soon as you have submitted the application - **any delay in providing funds may affect acceptance of the Application.**

When you make your payment, please use a reference (alphanumeric, no spaces) which comprises your initials followed by the telephone number you have given in Section 2. Payment must be in pounds sterling from an account in your sole or joint name (3rd party payments will not be accepted, including from business accounts).

- Bank transfer** to be made to the following account (please use the payment reference format above):

Account Name: **City-Maven VCTs-Segregated** Account number: **22226364** Sort Code: **802260**

- ☐ **Cheque or banker's draft** made payable to **City-Maven VCTs-Segregated** (please write your payment reference on the reverse).

Details of your account (from which you will provide funds or write a cheque):

Account Name:

Sort Code: - - Account Number:

5. Shareholder Preferences

Share Registration: if you wish any New Shares, for which your Application is accepted, to be issued to a Nominee (rather than receive a Share certificate) please provide the following Nominee information:

CREST Participant ID (if applicable): **CREST Member Account ID** (if applicable):

Nominee Name:

Contact Name:

Contact Email:

Address:

Post Code:

Note: If Nominee information has been provided above, **do not complete the "Communications from the VCTs" and "Dividends" sections below** - you should instead contact your nominee regarding your preferences).

Communications from the VCTs: please **TICK ONE BOX only** to indicate how you would like to receive annual and interim reports, or to be notified of their online publication, in respect of any New Shares and existing holdings in the VCTs for which you have applied:

Notifications by email: ☐
 Notifications by post: ☐
 Hard copy documents by post: ☐

Dividends

Please **TICK ONE BOX** to indicate how you wish to receive all dividends paid by the Companies to which you have applied (in respect of New Shares and existing Shareholdings). If you do not tick an option, you will receive dividends in line with your current choice (if you are already a Shareholder in the Companies) or otherwise by cheque.

☐ **Paid by bank transfer** - I elect to have my dividends paid into the account below (write "As Above" if they should be paid to the account detailed at 4):

Account Name:

Sort Code: - - Account Number:

☐ **Dividend Investment Scheme (DIS)** - I elect to participate in the DIS of those Companies to which I have applied, and confirm that I have read the terms and conditions of those schemes.

VCT Marketing Information: if you would like Maven to register you to receive VCT newsletters, news of portfolio investments and information about future VCT Offers, please **TICK THIS BOX**: ☐

If you are not applying through a financial intermediary, go straight to section 6.

Authority for the Registrar to Provide Shareholding Information to a Financial Intermediary

☐ Tick this box if, once your Shares have been allotted, you are happy for the Registrar to provide information about your shareholdings (in the Companies to which you have applied) on request to any financial intermediary noted in Section 7 (and any associated network or 3rd party service provider noted in Section 1, that the intermediary uses). This authority shall remain in effect until you revoke it by informing the Registrar in writing. If you do not tick the box, any previous authorities provided in respect of the Companies to which you have applied will expire, and the Registrar will not be able to provide them with information about your shareholdings unless you provide a new authority.

6. Applicant's Declaration (only to be signed by an Applicant; signature not required if the Application has been completed by an intermediary on the Applicant's behalf)

☐ I DECLARE THAT: (i) I have read the Terms and Conditions of Application set out in the Securities Note and agree to be bound by them, including providing funds and any evidence of identity requested by the Receiving Agent; (ii) I have provided accurate information, and will notify the relevant Companies in the event there are changes to my personal circumstances; (iii) I understand that this subscription represents a long-term investment and have read the risk factors set out in the Securities Note and the full Prospectus; and (iv) I have read USE OF YOUR PERSONAL INFORMATION in the Securities Note regarding the use of my personal data provided with this application, and agree to its use in accordance with that section.

Please indicate who is completing this section: **The Applicant** ☐ **The financial intermediary** on behalf of the Applicant ☐

Applicant Signature:

Date: / /

Remaining Sections to be completed only by a Financial Intermediary (if applicable).

7. Financial Intermediary Details

Firm Name:

FCA Number: Administrator/Contact name*:

Email*:

Telephone*:

*The Receiving Agent will use these contact details to issue application acknowledgements, or in the event of any queries in respect of this Application or intermediary fees/commissions.

Please tick this box if you wish Maven to use these details to send you information about its VCT offers and VCT related news. ☐

8. Initial Adviser Charges or Commissions

Please complete **ONLY ONE** of 8a OR 8b

8a. Initial Adviser Charge (only where advice has been provided to the applicant)

Enter in the box below the amount of any initial adviser charge, agreed between you and your client, which is to be facilitated to you by the Receiving Agent from the monies provided by the Applicant with the Application. Any such initial adviser charge can be a maximum of 4.5% of the Application Amounts and must be included in the Application Amounts shown in Section 2 and as part of the monies provided by your client. If no initial Adviser Charge is to be paid to you from the application monies, please enter "£0".

Initial adviser charge to be paid (**must be a £ amount, not a %**): £ , .00

8b. Initial Commission (only for non-advised applications)

You are entitled to up to 3% initial commission in respect of a non-advised or execution-only application, but can waive all or part of the initial commission for the benefit of your client. Enter in the box below the part of the maximum 3% commission that **is to be waived** (for example, if you are to be paid 1% initial commission then enter 2 in the box, or enter "0" if you are not waiving any commission).

Initial Commission **to be waived** (% between 0% and 3%): . %

Payment of Initial Adviser Charges and Initial Commissions: payment will be made to your account by bank transfer. Please provide the account details below. If you would like your accounting or finance team to receive a copy of the commission or fee statement issued by City Partnership, please provide their email address (later requests for copies of commission or fee statements will incur a £10 administration charge by City Partnership).

Account Name:

Sort Code: - - Account Number:

Email (of accounts/finance contact):

9. Financial Intermediary's Declaration

By signing this form I HEREBY DECLARE THAT I have read the Terms and Conditions of Application set out in the Securities Note (and as further contained herein) and agree to be bound by them. I confirm that: (i) I have the authority to sign this declaration on behalf of the Financial Intermediary; (ii) the amount inserted in Section 8a or 8b above (if applicable) has been agreed with the Applicant named in Section 2; (iii) we have verified the Applicant's identity to the standard required by the Money Laundering Regulations; (iv) where advice has been provided in respect of this application, such investment is considered suitable for the Applicant in their current circumstances, and we confirm our understanding of the characteristics of the Maven VCTs, including risks, fees and charges, which have been clearly explained to the Applicant. We also confirm that the Applicant falls within the identified and intended target market, and that we are comfortable that the investment offers fair price and value when aggregated with any distributor related fees and charges; and (v) where trail commission is being paid in connection with this Application, we will confirm to Maven and the VCTs annually that the Applicant still holds the shares and remains our client, and that we have not subsequently provided advice in respect of the shares allotted. If we have completed the Application Form on behalf of the Applicant, I confirm that the Applicant has given us the authority to do so, and that the Applicant will be providing funds in respect of the Application.

Signature: Date: / /

Submitting your Application (see the APPLICATION NOTES AND INSTRUCTIONS document)

Application Forms must only be submitted to the Receiving Agent City Partnership (not to Maven). If you have any questions about completion of this form, please contact City Partnership.

Please either email the completed Application Form to mavencp@city.uk.com or post it to **Maven VCT Offers, The City Partnership (UK) Ltd, The Mending Rooms, Park Valley Mills, Meltham Road, Huddersfield HD4 7BH**. If you are paying by cheque or banker's draft, please ensure that it is attached to the Application Form.