

MAVEN VCT OFFERS - APPLICATION FORM

Before completing this form you should read the Application Form Instructions and Terms and Conditions of Application contained in the Securities Note. Definitions used in the Securities Note dated 7 October 2022 apply to this Application Form. The Securities Note, Registration Document and Summary (together the "Prospectus") can be downloaded from: www.mavencp.com/vctoffer or requested by contacting Maven Capital Partners UK LLP on 0141 306 7400.

To be completed by an Applicant or their financial intermediary (not to be used by a nominee applying on behalf of an investor, who should contact the Receiving Agent, City Partnership, for alternative instructions). **Please complete ALL sections applying to you - failure to follow the Application Instructions could result in your Application not being accepted.**

Application Forms must only be submitted to City Partnership (not to Maven) by email or post to the addresses shown at the end of this form. If you have any questions about completion of this form, please contact City Partnership.

Please complete in **BLOCK CAPITALS**

To be completed by the Applicant (or by an intermediary completing the Application on behalf of an Applicant)

1. Applicant Details

Please tick to indicate whether you are applying: through a financial intermediary OR directly (no intermediary)

Title: (Mr/Mrs/Miss/Ms/Dr/Other)

Forename(s):

Surname(s):

Address:

Post Code:

If you have lived at your current address for less than three years, please provide details of your **previous address:**

Post Code:

Daytime Telephone:

Email:

Date of Birth: / / National Insurance No:

Tax residency – please indicate any countries where you are resident for tax purposes, and the taxpayer identification numbers (TIN) (see application notes if you are a UK tax payer but do not have a National Insurance number)

Country: TIN: Country: TIN:

If you (or your spouse/partner) are a Maven VCT Shareholder, please **tick one box:**
 Shares held on share register Shares held in nominee account

If you are the spouse/partner of a Shareholder in any Maven VCT, please provide their full name:

2. Application Amounts

The payment you provide must be for the **Total Application Amounts** set out below and must include any initial adviser charge to be paid to a financial adviser (which the adviser must specify in Section 10a). An Application must be for a **minimum aggregate amount of £5,000**, and for a minimum of £1,000 in each Maven VCT for which you apply.

I wish to apply for the amount(s) shown below, or such lesser amount(s) for which this Application will be accepted (in accordance with the re-allocation/return instructions set out in Section 3). Please enter £0 next to any VCTs for which you are not applying:

	Tax Year 2022/2023		Tax Year 2023/2024	
Maven VCT 1	£	<input type="text"/> , <input type="text"/> .00	£	<input type="text"/> , <input type="text"/> .00
Maven VCT 3	£	<input type="text"/> , <input type="text"/> .00	£	<input type="text"/> , <input type="text"/> .00
Maven VCT 4	£	<input type="text"/> , <input type="text"/> .00	£	<input type="text"/> , <input type="text"/> .00
Maven VCT 5	£	<input type="text"/> , <input type="text"/> .00	£	<input type="text"/> , <input type="text"/> .00
Total Application Amount (per tax year)	£	<input type="text"/> , <input type="text"/> .00	£	<input type="text"/> , <input type="text"/> .00

PLEASE TURN OVER

3. Re-allocation/Return Instructions (please read the application instructions before applying)

In the event that one or more of the Offers for which I have applied has closed, or is deemed closed, at the time my Application Form is processed, then I hereby request the following (**tick one box only**):

- (a) the amount in respect of the closed Offer(s) be **re-allocated equally between the Offer(s) that I have applied for and that remain open** (in respect of the same tax year), OR
- (b) the amount in respect of the closed Offer(s) be **re-allocated equally between ALL of the Offer(s) that remain open** (in respect of the same tax year), regardless of whether I have applied for them, OR
- (c) the amount in respect of the closed Offer(s) **be returned to me** by bank transfer to the account detailed in Section 4

Please note – if you fail to tick a box above, the default position set out in the application instructions will apply, and your Application monies will be re-allocated accordingly.

4. Payment Details

You must **TICK ONE payment option** to indicate how you are paying, and provide details of the account from which you are paying and a payment reference*. Payment must be in pounds sterling from an account held in the Applicant's sole or joint name (3rd party payments will not be accepted, including from business accounts or those of spouses/civil partners). **Any delay in providing funds may affect acceptance of the Application, as Applications with cleared funds will be given priority.**

*Payment reference (your initials and telephone number, with no spaces or special characters e.g. JS07210123456)

Cheque or banker's draft made payable to *City-Maven VCTs-Segregated* and crossed "A/C Payee only" (you should write your payment reference on the reverse of the cheque).

Bank transfer to be made to the following account (your bank should also use the payment reference above):

Account Name: *City-Maven VCTs-Segregated* Account number: 22226364 Sort Code: 802260

Details of Your Account (from which you will provide funds):

Account Name:

Account Number: Sort Code: - -

5. Shareholder Preferences

Communications from the VCTs: please **tick one box only** to indicate how you would like to receive annual and half-yearly reports, or to be notified of their online publication, in respect of any New Shares and existing holdings in the VCTs for which you have applied:

Notifications by email: Notifications by post: Hard copy documents by post:

VCT Marketing Information: please tick this box if you want Maven to register you to receive VCT newsletters, news of portfolio investments and information about future VCT Offers:

Nominee/CREST details (if applicable)

I request that any New Shares for which my Application is accepted are issued to my nominee (through CREST, or otherwise):

CREST Participant ID (if applicable): CREST Member Account ID (if applicable):

Nominee Name:

Address:

Post Code: Contact Telephone:

Contact Name:

Contact Email:

6. Dividends

If you have completed Nominee/CREST details above, go straight to section 7 (you should contact your nominee regarding dividend options).

Otherwise please complete ONE of 6a OR 6b to indicate how you wish all dividends paid by you by the Companies to which you have applied (in respect of New Shares and existing Shareholdings). If you do not indicate a choice you will receive dividends either in line with your current choice (if you are already a Shareholder in the Companies) or otherwise by cheque.

6a. Dividends paid by Bank Transfer

I elect to have all dividends paid into the account below (write "As Above" if they should be paid to the account detailed at 4):

Account Name:

Account Number: Sort Code: - -

6b. Dividend Investment Scheme (DIS)

I elect to participate in the DIS of those Companies to which I have applied, and I confirm that I have read the terms and conditions of those schemes.

If you are not applying through a financial intermediary, go straight to section 8.

7. Authority in Relation to Providing Shareholding Information to a Financial Intermediary and any 3rd Party Platform

Tick this box if, once your Shares have been allotted, you are happy for the Registrar to provide information about your shareholdings (in the Companies to which you have applied) on request to the financial intermediary noted in Section 9 (and any associated network or 3rd party administration provider the intermediary uses). This authority shall remain in effect until you revoke it by informing the Registrar in writing. If you do not tick the box, any previous authorities provided in respect of the Companies to which you have applied will expire, and the Registrar will not be able to provide them with information about your shareholdings unless you provide a new authority.

8. Applicant's Declaration (only to be completed by an Applicant; not required if the Application details above have been completed by an intermediary on the Applicant's behalf)

I DECLARE THAT: (i) I have read the Terms and Conditions of Application set out in the Securities Note and agree to be bound by them, including providing funds and any evidence of identity requested by the Receiving Agent; (ii) I understand that this subscription represents a long term investment and have read the risk factors set out in the Securities Note and the full Prospectus; and (iii) I have read USE OF YOUR PERSONAL INFORMATION in the Securities Note regarding the use of my personal data provided with this application, and agree to its use in accordance with this section.

Signature **Date** / /

Remaining Sections to be completed only by a Financial Intermediary (if applicable).

9. Financial Intermediary Details

Network / Service provider Name (optional):

Firm Name:

FCA Number:

Administrator/Contact name*:

Email*:

Telephone*:

*The Receiving Agent will use these contact details to issue application acknowledgements, or in the event of any queries in respect of this Application or intermediary fees/commissions.

Initial Adviser Charges or Commissions

Please complete **ONLY ONE** of sections 10a OR 10b.

10a. Advised Investment

Enter in the box below the amount of any initial adviser charge, agreed between you and your client, which is to be facilitated to you as the adviser by the Receiving Agent from the monies provided by the Applicant with the Application. Any such initial adviser charge can be a maximum of 4.5% of the Application Amounts and must be included in the Application Amounts shown in Section 2 and as part of the monies provided by your client.

If no initial Adviser Charge is to be paid to you from the application monies, please enter "£0".

Amount of initial adviser charge (**must be a £ amount**):

£ ,

10b. Non-advised (Execution-Only) Investment

If you are an execution-only intermediary please indicate whether you are waiving all or part of the maximum 3% initial commission you are entitled to, for the benefit of your client. Please enter in the box below the part of the maximum 3% commission that is to be waived (for example, if you are to be paid 1% initial commission then enter 2% in the box). Please enter "0" if you are not waiving any commission).

Amount of Initial Commission to be waived (% **between 0% and 3%**):

. %

10c. Payment of Initial Commissions and Initial Adviser Charges to Intermediaries

Payments will be made to your account by bank transfer. Please provide the account details below (and a reference to help you identify the payment associated with this application on the statement). If you would like your accounts or finance team to receive a copy of the commission or fee statement issued by City Partnership, please provide their email address (later requests for copies of commission or fee statements will incur a £10 administration charge by City Partnership).

Account Name:

Account Number:

Sort Code:

- -

Reference:

Email (of accounts/finance contact):

11. Financial Intermediary's Declaration

By signing this form I HEREBY DECLARE THAT I have read the Terms and Conditions of Application set out in the Securities Note (and as further contained herein) and agree to be bound by them. I confirm that: (i) I have the authority to sign this declaration on behalf of the Financial Intermediary; (ii) the amount inserted in Section 10a or 10b above (if applicable) has been agreed with the Applicant named in Section 1; (iii) we have verified the Applicant's identity to the standard required by the Money Laundering Regulations; and (iv) where trail commission is being paid in connection with this Application, we will confirm to Maven and the VCTs annually that the Applicant still holds the shares and remains our client, and that we have not subsequently provided advice in respect of the shares allotted. If we have completed the Application Form on behalf of the Applicant, I confirm that the Applicant has given us the authority to do so, and that the Applicant will be providing funds in respect of the Application.

Maven may use the intermediary contact details (given in Section 9), to send you information about its VCT offers and VCT related news. If you wish to receive this information, please tick the box:

Signature

Date

/ /

Submitting your Application (see MAKING AN APPLICATION in the Securities Note)

Please either email the completed Application Form to the Receiving Agent at mavencp@city.uk.com or post it to **Maven VCT Offers, The City Partnership (UK) Ltd, The Mending Rooms, Park Valley Mills, Meltham Road, Huddersfield HD4 7BH**. If you are paying by cheque or banker's draft, please ensure that it is attached to the Application Form.